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4 Counsel for Receiver, Kathy Bazoian Phelps
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8 **UNITED STATES DISTRICT COURT**
9 **NORTHERN DISTRICT OF CALIFORNIA**
10 **SAN FRANCISCO DIVISION**
11

12 SECURITIES AND EXCHANGE
COMMISSION,

13 Plaintiff,

14 v.

15 JOHN V. BIVONA; SADDLE RIVER
16 ADVISORS, LLC; SRA
MANAGEMENT ASSOCIATES,
17 LLC; FRANK GREGORY
MAZZOLA,

18 Defendants, and

19 SRA I LLC; SRA II LLC; SRA III
20 LLC; FELIX INVESTMENTS, LLC;
MICHELE J. MAZZOLA; ANNE
21 BIVONA; CLEAR SAILING GROUP
IV LLC; CLEAR SAILING GROUP V
22 LLC,

23 Relief Defendants.
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28

Case No. 3:16-cv-01386-EMC

**DECLARATION OF KATHY BAZOIAN
PHELPS IN SUPPORT OF SECOND
INTERIM ADMINISTRATIVE MOTION
FOR AN ORDER PURSUANT TO LOCAL
RULE 7-11 FOR THE APPROVAL OF FEES
AND EXPENSES FOR THE SUCCESOR
RECEIVER AND COUNSEL FROM APRIL
1, 2019 THROUGH JUNE 30, 2019 AND FOR
CLAIMS AGENT STRETTO FROM JUNE
18, 2018 THROUGH FEBRUARY 28, 2019**

Date: No Hearing Set
Time: No Hearing Set
Judge: Edward M. Chen

1 I, Kathy Bazoian Phelps, declare:

2 1. Pursuant to this Court’s Revised Order Appointing Receiver, entered on February 28,
3 2019, I was appointed as the successor receiver (“Receiver”) in this case. I am also an attorney
4 duly licensed to practice in the State of California and am senior counsel at the firm of Diamond
5 McCarthy LLP (“Diamond McCarthy”). I have personal knowledge of the matters set forth below
6 and if called as a witness, I would and could testify competently to the matters stated herein.

7 2. This declaration is made in support of the Second Interim Administrative Motion for an
8 Order Pursuant to Local Rule 7-11 for the Approval of Fees and Expenses for the Successor
9 Receiver and Counsel from April 1, 2019 through June 30, 2019 and for Claims Agent Stretto from
10 June 18, 2018 through February 28, 2019 (“Motion”).

11 3. Pursuant to my proposal to for my appointment, and in recognition of the efficiencies
12 and benefits to the estate in my role as Receiver that I can also address legal issues arising in the
13 estate, I have divided my time between the following 6 billing categories:

- 14 2598-00011 – Case Administration
- 15 2598-00012 – Receiver Administrative Services
- 16 2598-00013 – Claims Administration/Objection
- 17 2598-00014 – Asset Analysis and Recovery
- 18 2598-00016 – Plan Implementation
- 19 2598-00017 – Fee Application (this time has not been charged)

20 4. While my standard hourly rate is \$675.00, I have discounted my hourly rate to \$425.00
21 for Matters 11, 13-16, thereby generating savings to the estate. For Matter 2598-00012, I have
22 discounted my hourly rate to \$130.00.

23 2598-00011 – Case Administration

24 5. With respect to Category 2598-00011, I performed 28.70 hours of services for total
25 fees of \$12,197.50 for the period of April 1, 2019 through June 30, 2019. In connection with
26 services performed, I have also incurred reasonable and necessary costs in the amount of \$185.93.
27 Attached as Exhibit “A” hereto are true and correct copies of billing statements itemizing the
28 services provided and costs incurred for Category 2598-00011.

1 6. Prior to my involvement in this case, this case was pending for almost three years. I
2 have worked efficiently and economically to move this case forward. I have communicated with
3 Wells Fargo Bank in order to obtain statements for the investment accounts and have reviewed
4 documentation pertaining to these accounts. I met with the responsible individual at Wells Fargo
5 Bank regarding opening of checking and brokerage accounts and coordinated the transfer of
6 securities to a brokerage account at Wells Fargo Advisors.

7 7. I also reviewed and responded to counsel for the former receiver with respect to
8 payment of his fees and prepared a receipt and disbursement ledger. I analyzed old tax returns to
9 evaluate investor treatment on returns, determined what additional records were needed, and
10 corresponded with the former receiver and his counsel to receive further information.

11 8. I have regularly communicated with the Securities and Exchange Commission
12 regarding pending matters, in order to keep them informed.

13 9. I have taken actions to manage the administration of the case, including issues dealing
14 with financial reporting, document management and banking. I have also continued to monitor and
15 update the receiver's website so that investors and creditors can stay up to date with activity in the
16 case.

17 2598-00012 – Administrative Services

18 10. I have billed the administrative services that I provided to the estate to this category. I
19 have discounted my hourly rate and the hourly rate of my paralegal Michaela O'Rourke to \$130.00
20 for this category. Attached as Exhibit "B" hereto are true and correct copies of billing statements
21 itemizing the services provided for Category 2598-00012. In this category, with the assistance of
22 my paralegal, we performed 59.60 of services for the amount of \$7,748.00.¹

23 11. Services performed in this category include but are not limited to updating the claims
24 register, updating and organizing the master service list, drafting emails to investors providing
25 investor numbers for claim identification purposes. I have also included my time spent on
26 _____

27 ¹ I have included fees in the amount of \$3,796.00 incurred prior to April 1, 2019 that not previously
28 requested in the first interim administrative motion for fees and expenses.

1 communications with creditors regarding their filed claims and other administrative matters.

2 2598-00013 – Claims Administration and Objection

3 12. With respect to Category 2598-00013, I performed 49.90 hours of services for total
4 fees of \$21,207.50. Attached as Exhibit “C” hereto are true and correct copies of billing statements
5 itemizing the services provided for Category 2598-00013.

6 13. Shortly after my appointment, I issued and served a new claims bar date notice to
7 creditors and investors who had not previously received notice of an opportunity to file claims.
8 This new notice provided a final chance for creditors and investors to file claims by May 14, 2019
9 and required them to explain why they had not filed claims by the earlier bar date. I received
10 additional claims and the primary reason for not filing claims before being that the creditor did not
11 receive notice of the prior claims bar date. I reviewed and evaluated claims and corresponded with
12 the SEC on these claims. I prepared and filed the Motion to Disallow Certain Claims (Dkt No.
13 481). No responses or oppositions were filed to this motion. The motion was heard on June 27,
14 2019 and the Court entered the Order Granting Motion to Disallow Certain Claims (Dkt. No. 501).

15 14. I also negotiated a stipulation with Progresso Ventures, LLC (“Progresso”) regarding
16 the treatment of its claim pursuant to which one portion is granted unsecured status and the balance
17 is treated as a subordinated claim. I drafted and exchanged offers with Progresso, discussed the
18 terms of the stipulation with my counsel, and assisted in the drafting of the Stipulation and
19 [Proposed] Order Fixing the Allowed Amount of Progresso’s Unsecured Creditor Claim (Dkt No.
20 490) that was approved by the Court on June 27, 2019 (Dkt. No. 502).

21 15. I worked with the lists of claims provided to me to be able to provide information to
22 the investors as to their allowed claim amounts in particular investments. After assigning each
23 investor and Investor ID number and delivering the investors their unique numbers, I was able to
24 post the claims information on my website so they could see whether their claims had been allowed
25 and, if so, in what amount.

26 2598-00014 – Asset Analysis and Recovery

27 16. With respect to Category 2598-00014, I performed 34.80 hours of services for total
28 fees of \$14,790.00. Attached as Exhibit “D” hereto are true and correct copies of billing statements

1 itemizing the services provided for Category 2598-00014.

2 17. As a necessary foundation for proposing and implementing a plan, I along with the
3 assistance of my counsel, continued to make significant progress in evaluating the shareholdings of
4 the estate, which are the primary assets of the estate. I analyzed the locations of stocks, reviewed
5 summaries of shares held by the estate, and evaluated pleadings and documents such as forward
6 purchase agreements.

7 18. I also contacted companies and holders of pre-IPO stock interests in companies with
8 which the estate has forward purchase contracts in order to inform them of my appointment and to
9 verify the holdings.

10 19. I evaluated available documents on the Solis Associates Fund and determined that it
11 should be treated as a receivership entity for various reasons as is detailed in the Receiver's Plan,
12 thereby bringing Bloom Energy shares into the receivership estate.

13 20. My services also included gathering facts and analyzing a possible settlement with
14 EAC, preparing a summary of potential settlement terms with EAC, communicating with the SEC
15 on issues relating to EAC, and engaging in settlement discussions with counsel for EAC.

16 2598-00016 – Plan Implementation

17 21. With respect to Category 2598-00016, I performed 74.60 hours of services for total
18 fees of \$29,792.50.00. Attached as Exhibit "E" hereto are true and correct copies of billing
19 statements itemizing the services provided for Category 2598-00016.

20 22. I evaluated the plan proposed by the SRA Funds Investor Group, drafted comments on
21 the same and participated in telephonic conferences with interested parties, including the SEC, the
22 SRA Funds Investor Group and counsel for Progresso on the investor group plan. I concluded that
23 the SRA Funds Investor Group's proposed plan was not feasible for a number of reasons, including
24 its failure to provide a sufficient mechanism for payment of taxes and administrative expenses. I
25 prepared and filed comments on the investor group plan (Dkt. No. 488).

26 23. After concluding that the plan proposed by the SRA Funds Investor Group was not
27 feasible, I immediately went to work on drafting my own Plan of Distribution ("Receiver's Plan")
28 (Dkt. 487). I circulated an outline of it to various parties, including but not limited to the SEC, the

1 SRA Funds Investor Group, Global Generation and counsel for Progresso Ventures and for Pradeep
2 Singh and reviewed their comments and positions. I participated in numerous meet and confer
3 calls regarding the Receiver's Plan. The Receiver's Plan drew support from the SEC, the SRA
4 Funds Investor Group, Pradeep Singh and Progresso Ventures, with certain exceptions pertaining to
5 specific provisions. The Court approved a number of provisions of the Receiver's Plan, and the
6 Receiver's Plan appears to now be the foundation for a distribution plan that requires a few
7 revisions based on possible tax consequences.

8 24. This category also includes services provided to the estate in connection with tax issues
9 that are extremely complex and unsettled. I worked with my accountants to address tax
10 consequences of the plan proposed by SRA Funds Investor Group as well as the Receiver's Plan,
11 the formation of a qualified settlement fund, and the timing and tax implications of various
12 distribution schemes for the estate and investors.

13 25. Attached hereto as Exhibit "F" is a financial summary of the receivership estate as of
14 June 30, 2019. The financial summary sets forth the cash on hand in the estate. The summary also
15 includes the known accrued but unpaid administration expenses through June 30, 2019, and the net
16 unencumbered cash of the estate after deducting the known incurred expenses, including the fees
17 and costs subject to this Motion and including holdbacks.

18 26. Attached hereto as Exhibit "G" is the Standardized Fund Accounting Report for the
19 period of April 1, 2019 through June 30, 2019, prepared on the form requested by the SEC to
20 reflect the cash activity in the case during this period.

21 27. Attached as Exhibit "H" are the invoices submitted to me by claims agent Stretto for
22 the period of June 18, 2018 through February 28, 2019. The total amount due to Stretto for
23 services performed is \$2,098.40. Stretto agreed to charge the estate the same hourly rates as that
24 charged to the former receiver. The hourly rate for a consultant is \$108.00 and the hourly rate for a
25 director is \$175.00. Stretto reduced its invoice for services provided in transitioning to me as
26 receiver and agreed to charge just \$500.

27 28. I have read the Motion and the billing statements attached to my declaration. To the
28 best of my knowledge, information and belief formed after reasonable inquiry, all the fees and

1 expenses requested in the attached billing statements are true and correct and the Motion complies
2 with the Billing Instructions for Receivers in Civil Actions Commenced by the U.S. Securities and
3 Exchange Commission.

4 29. The fees that I have charged are reasonable, necessary, and commensurate with the
5 skill and experience required for the activity performed. I respectfully submit that I have not
6 expended time unnecessarily and that I have rendered efficient and effective services.

7 30. In seeking reimbursement of services for which I purchased or contracted for from a
8 third party, I have only requested reimbursement for the amount billed by the third-party vendor
9 and paid to the vendor. I have not made a profit on such reimbursable services. I have not
10 included in the amount for which reimbursement is sought the amortization of the cost of any
11 investment, equipment, or capital outlay.

12 31. I have conferred with counsel for the Securities and Exchange Commission, and for the
13 SRA Funds Investor Group, and I am advised that they do not oppose the Motion. A stipulation
14 with all parties was deemed impractical given, among other things, the entry of judgment against
15 defendants and pending bankruptcy of defendant John Bivona.

16 I declare under penalty of perjury that the foregoing is true and correct. Executed on this 9th
17 day of August 2019 at Los Angeles, California.

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Kathy Bazarian Phelps

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EXHIBIT A

Diamond McCarthy LLP

Kathy Bazoian Phelps, Receiver
 I.D. 2598-00011 - KBP
 Re: SEC v. Saddle River Advisors, LLC

July 22, 2019
 Invoice 32989
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Fee Recap

		Hours	Rate/Hour	Amount
Kathy B. Phelps	Partner	28.70	425.00	12,197.50
Totals		28.70		12,197.50

Fees

Date	Atty	Description	Hours	Rate	Amount
04/02/19	KBP	In-person meeting with Wells Fargo regarding new accounts.	0.50	425.00	212.50
04/03/19	KBP	Review proposed notice language regarding securities.	0.10	425.00	42.50
04/04/19	KBP	Draft email to A. Kadish regarding ██████████ matter, draft memo regarding Notice of Stay in ██████████ litigation.	0.30	425.00	127.50
04/04/19	KBP	Call with P. Schrage and J. Yun regarding status, pending matters, plan.	0.80	425.00	340.00
04/04/19	KBP	Review and revise Notice of Stay in ██████████ case.	0.20	425.00	85.00
04/05/19	KBP	Supervise attorney time, pending projects.	0.20	425.00	85.00
04/05/19	KBP	Prepare documentation requested by SEC regarding IRS and service.	0.20	425.00	85.00
04/07/19	KBP	Review memo from G. Nertea regarding payment of J. Cotton fees.	0.10	425.00	42.50
04/08/19	KBP	Review correspondence from A. Kadish regarding stay in ██████████ case.	0.10	425.00	42.50
04/08/19	KBP	Outline of pending issues for consultation with SEC.	0.20	425.00	85.00
04/09/19	KBP	Draft communication to SEC regarding pending matters, requests for information.	0.70	425.00	297.50
04/12/19	KBP	Review Sherwood and J. Cotton eighth fee application and request for nonopposition.	0.40	425.00	170.00
04/14/19	KBP	Prepare Cash summary for estate.	0.70	425.00	297.50
04/14/19	KBP	Follow up emails to Wells Fargo banking and advisors regarding current statements for accounts.	0.20	425.00	85.00
04/14/19	KBP	Review and comment on J. Cotton's fee application.	0.30	425.00	127.50
04/15/19	KBP	Review correspondence from Wells Fargo Bank regarding statements regarding investment account, delay in checking account opening.	0.40	425.00	170.00
04/16/19	KBP	Telephone conference with SEC regarding pending issues, settlements, EAC, plan.	1.40	425.00	595.00
04/16/19	KBP	Review and respond to J. Cotton memo regarding request for payment of fees.	0.10	425.00	42.50
04/16/19	KBP	Draft memo regarding request for all Sherwood bank statements.	0.10	425.00	42.50
04/17/19	KBP	Communications with J. Cotton and Georgiana regarding WFB statements, payment to Cotton's firm.	0.10	425.00	42.50
04/17/19	KBP	Meeting with Marlon at WFB regarding opening of account,	1.00	425.00	425.00

Diamond McCarthy LLP

Kathy Bazoian Phelps, Receiver
 I.D. 2598-00011 - KBP
 Re: SEC v. Saddle River Advisors, LLC

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Date	Atty	Description	Hours	Rate	Amount
		transferring funds.			
04/18/19	KBP	Telephone conference with NY Attorney General regarding notice.	0.20	425.00	85.00
04/19/19	KBP	Communication with SEC and Receiver regarding strategy meeting.	0.20	425.00	85.00
04/19/19	KBP	Complete forms regarding transfer of securities at AST.	0.90	425.00	382.50
04/23/19	KBP	Review bank statements received from former receiver.	0.60	425.00	255.00
04/23/19	KBP	Draft memo to G. Nertea regarding missing bank statements, unknown disbursement.	0.10	425.00	42.50
04/23/19	KBP	Prepare SFAR for SEC reporting.	0.50	425.00	212.50
04/24/19	KBP	Telephone conference with J. Yun, P. Schrage and E. Chen regarding issues with EAC, plan issues.	0.90	425.00	382.50
04/24/19	KBP	Review correspond from R. Wild of NY AG regarding no claim and removal from service list.	0.10	425.00	42.50
04/24/19	KBP	Review correspondence from G. Nertea regarding bank records, distribution in March.	0.10	425.00	42.50
04/24/19	KBP	Finalize SFAR, forward to SEC.	0.20	425.00	85.00
04/25/19	KBP	Review correspondence from G. Nertea regarding tax returns, no K1's issued.	0.10	425.00	42.50
04/25/19	KBP	Review NY tax notices.	0.20	425.00	85.00
04/26/19	KBP	Review snapshot of shares/claims, updated claims register.	0.30	425.00	127.50
04/26/19	KBP	Personally obtain medallion signatures for AST transfers and wire 2018 Q3 and Q4 fees.	1.80	425.00	765.00
04/26/19	KBP	Draft letter to AST regarding transfer and conversion documentation.	0.30	425.00	127.50
04/26/19	KBP	Draft communication to SEC regarding conversion of Bloom Energy shares.	0.10	425.00	42.50
04/26/19	KBP	Draft email to J. Cotton regarding payment of fees.	0.10	425.00	42.50
04/26/19	KBP	Prepare receipts and disbursements ledger.	0.40	425.00	170.00
04/29/19	KBP	Review correspondence from A. Kadish regarding John Bivona stipulation.	0.10	425.00	42.50
04/29/19	KBP	Review final consent judgments of Bivonas and Saddle River Advisors.	0.20	425.00	85.00
04/30/19	KBP	Telephone conference with S. Giugliano regarding NY matters, amended Bivona claim, stipulation regarding denial of discharge, Progresso garnished funds in Bivona Esq account.	0.30	425.00	127.50
05/07/19	KBP	Review communications from counsel regarding stipulation and notice in Bivona bankruptcy case.	0.10	425.00	42.50
05/07/19	KBP	Telephone conference with Kermith regarding tax consequences of plan, NY tax notices.	0.80	425.00	340.00
05/08/19	KBP	Review and approve Notices in Bivona case.	0.10	425.00	42.50
05/14/19	KBP	Telephone conference with SEC regarding objections to claims, plan issues.	1.10	425.00	467.50
05/15/19	KBP	Review notices filed in John Bivona bankruptcy case and	0.10	425.00	42.50

Diamond McCarthy LLP

Kathy Bazoian Phelps, Receiver
 I.D. 2598-00011 - KBP
 Re: SEC v. Saddle River Advisors, LLC

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Date	Atty	Description	Hours	Rate	Amount
		communication with Debtor's counsel regarding discharge deadline.			
05/16/19	KBP	Telephone conference with P. Schrage and J. Yun regarding claims objections, SFAR and former receiver's reporting.	0.50	425.00	212.50
05/17/19	KBP	Send follow up correspondence to N. Brown regarding transfer at AST.	0.10	425.00	42.50
05/18/19	KBP	Review statements from AST regarding transfer of shares into new receiver accounts.	0.40	425.00	170.00
05/21/19	KBP	Review and respond to memo from ██████████ regarding question regarding sale of shares on secondary market.	0.10	425.00	42.50
05/21/19	KBP	Telephone conference with ██████████ regarding request to redeem, distribution plan issues.	0.30	425.00	127.50
05/22/19	KBP	Draft memo regarding service issues, investor id numbers.	0.20	425.00	85.00
05/23/19	KBP	Review and respond to inquiry from ██████████ regarding distribution.	0.10	425.00	42.50
05/23/19	KBP	Review and forward email from ██████████ regarding inquiry about status of case.	0.10	425.00	42.50
05/23/19	KBP	Review old tax returns to evaluate investors' treatment on returns, draft memo regarding possible additional records from former accountant.	0.80	425.00	340.00
05/24/19	KBP	Review and respond to email from ██████████ regarding request for no notice in case regarding claim.	0.10	425.00	42.50
05/28/19	KBP	Review correspondence from J. Cotton regarding SFAR, filing fee application.	0.10	425.00	42.50
05/28/19	KBP	Draft email to J. Cotton and Sherwood regarding tax election.	0.10	425.00	42.50
05/28/19	KBP	Review correspondence from J. Cotton and G. Nertea regarding no QSF tax election.	0.10	425.00	42.50
05/29/19	KBP	Review correspondence from Wells Fargo regarding transfer of securities.	0.10	425.00	42.50
05/31/19	KBP	Prepare outline for meeting with John Bivona.	0.20	425.00	85.00
06/03/19	KBP	Telephone conference with J. Bivona regarding assets, various issues.	0.90	425.00	382.50
06/03/19	KBP	Telephone conference with SEC regarding issues regarding Eliv, Sindhu, plan.	0.50	425.00	212.50
06/03/19	KBP	Review communications regarding tax regulations.	0.10	425.00	42.50
06/03/19	KBP	Review and respond to emails from Kumar regarding investments, plan.	0.20	425.00	85.00
06/03/19	KBP	Review and approve Sherwood and J. Cotton fee applications.	0.20	425.00	85.00
06/04/19	KBP	Telephone conference with SEC regarding plan issues, EAC share issues	1.40	425.00	595.00
06/05/19	KBP	Communications with SEC regarding revisions to plan, exhibits.	0.50	425.00	212.50
06/06/19	KBP	Review and respond to email from WFB regarding transfer of funds.	0.10	425.00	42.50
06/06/19	KBP	Draft language for website regarding new pleadings, claims and	0.50	425.00	212.50

Diamond McCarthy LLP

Kathy Bazoian Phelps, Receiver
 I.D. 2598-00011 - KBP
 Re: SEC v. Saddle River Advisors, LLC

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Date	Atty	Description	Hours	Rate	Amount
		plan.			
06/07/19	KBP	Draft and review content for website.	0.80	425.00	340.00
06/10/19	KBP	Manage website content.	0.20	425.00	85.00
06/19/19	KBP	Review communication regarding stipulation regarding Bivona discharge deadline.	0.10	425.00	42.50
06/19/19	KBP	Communication with investor regarding case.	0.10	425.00	42.50
06/20/19	KBP	Review discharge complaint, draft memo to counsel regarding separate complaint.	0.40	425.00	170.00
06/20/19	KBP	Draft email regarding investor group list.	0.10	425.00	42.50
06/20/19	KBP	Review and respond to email from SEC regarding Investor Group members.	0.20	425.00	85.00
06/21/19	KBP	Review and comment on information regarding investor list.	0.20	425.00	85.00
06/21/19	KBP	Review memo from counsel regarding discharge complaint.	0.10	425.00	42.50
06/21/19	KBP	Review and respond to former receiver regarding fees.	0.10	425.00	42.50
06/24/19	KBP	Review revised order regarding former receiver fees.	0.10	425.00	42.50
06/25/19	KBP	Review memo regarding communications with former accountant regarding tax returns.	0.10	425.00	42.50
06/25/19	KBP	Review memo from counsel regarding 523 or 727 complaint against Bivona.	0.10	425.00	42.50
06/25/19	KBP	Draft communication to Investor Group counsel regarding members of Group.	0.40	425.00	170.00
Total Fees			28.70		12,197.50

Disbursements

Date	Description	Amount
04/29/19	Delivery Services; to Mitchell Bompey, Addepar, Inc., 3335 Madison Avenue #1430, New York, NY 04/19/2019/Inv. 6-532-29127; Federal Express	30.73
	On-Line Research; PACER 1st Qtr research	72.60
06/10/19	Delivery Services; to Michael Schwartz, CPA, Schwartz & Co. LLP, 2580 Sunrise Highway, Bellmore, NY 05/29/2019/Inv. 6-575-26945; Federal Express	29.96
06/17/19	Delivery Services; to David Feldman, 64 Coolidge Street, Brookline, MA 06/10/2019/Inv. 6-582-51518; Federal Express	52.64
Total Disbursements		185.93

EXHIBIT B

Diamond McCarthy LLP

Kathy Bazoian Phelps, Receiver
 I.D. 2598-00012 - KBP
 Re: Receiver Administrative Services

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 Invoice 32995
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Fee Recap

		Hours	Rate/Hour	Amount
Kathy B. Phelps	Partner	23.50	130.00	3,055.00
Michaela M. O'Rourke	Paralegal	36.10	130.00	4,693.00
Totals		59.60		7,748.00

Fees

Date	Atty	Description	Hours	Rate	Amount
03/01/19	MMO	Complete research and prepare draft letter for K. Phelps use and edits (.7); complete further research and prepare bar date notice for K. Phelps use and edits (1.4).	2.10	130.00	273.00
03/04/19	MMO	Complete research and make notes for attorney use (1.5); prepare draft letter for K. Phelps use (.2); prepare letter on receiver account for K. Phelps use (.1); emails with K. Phelps and L. Hawes on the same (.4).	2.20	130.00	286.00
03/05/19	MMO	Complete brief review of material received and prepare for further attorney review (.6); prepare welcome letter for K. Phelps review (.2); emails with K. Phelps on the same (.3).	1.10	130.00	143.00
03/06/19	MMO	Complete brief review of material received from Sherwood/JND and prepare for K. Phelps further reference (.4); call with K. Phelps on the same (.2); review Saddle River IRS forms and prepare for K. Phelps use (.2); correspondence with K. Phelps and Sherwood on documents for review (.5).	1.30	130.00	169.00
03/07/19	MMO	Complete research on taxing authorities, emails with K. Phelps on the same.	0.30	130.00	39.00
03/08/19	MMO	Complete review of taxing authorities and provide to K. Phelps for further use (.6); review material received by Sherwood (.2); meet with L. Hawes on the same (.3); prepare DM employment application material for K. Phelps use (.4); correspondence with L. Hawes on the same (.2).	1.70	130.00	221.00
03/11/19	MMO	Emails with Sherwood on documents for attorney review (.2); prepare welcome letter for delivery to email and US Mail delivery (.2); complete review of email and US mailing list, prepare for delivery (2.3); correspondence with K. Phelps on the same (.3); meet with D. Davis on letters for delivery via US Mail (.2); complete brief review of documents received from Sherwood and prepare for further attorney use (.3).	3.50	130.00	455.00
03/12/19	MMO	Emails with K. Phelps on Bar Date Notice (.3); complete review of mailing list and prepare notices for service (.6); prepare IRS letter for K. Phelps use (.4); email to K. Phelps on the same (.1); provide updates to K. Phelps on responses to letters received (.4).	1.80	130.00	234.00
03/13/19	MMO	Prepare IRS forms for K. Phelps review (.3); meet with L. Hawes on the same (.1); review computers received from	1.10	130.00	143.00

Diamond McCarthy LLP

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Date	Atty	Description	Hours	Rate	Amount
		Sherwood (.1); email to Sherwood on the same (.1); review digital files received from Sherwood and prepare for further attorney reference (.3); prepare IRS letter for K. Phelps use (.1); emails with K. Phelps on the same (.1).			
03/15/19	MMO	Prepare Employment motion and supporting documents for filing with the court (1.3); emails with K. Phelps on the same (.4); meet with L. Hawes on the same (.3).	2.00	130.00	260.00
03/18/19	MMO	Complete review of contact list (.1); email to K. Phelps on the same (.1); complete document review of stock certificates and prepare for further attorney reference (.3); prepare application and supporting documents for Professional employment for service and filing with the court (2.0); correspondence with K. Phelps and L. Hawes on the same (.2); email to B. Martinez on filing completed for Diamond McCarthy Saddle River site (.1).	2.80	130.00	364.00
03/19/19	MMO	Calls with investors (.2); review mailing list, and make updates for future use and reference (.6).	0.80	130.00	104.00
03/20/19	MMO	Complete review of returned US mail and emails, make notes on the same for future mailings (1.5); emails to K. Phelps on the same (.2).	1.70	130.00	221.00
03/21/19	MMO	Prepare notice of appearance for L. Hawes and K. Diep (.6); meet with L. Hawes on the same (.1); prepare for service and filing with the court (.1); make update to service list (.2); correspondence with L. Hawes on computer inventory (.2).	1.20	130.00	156.00
03/22/19	MMO	Complete research on Bankruptcy matter for L. Hawes (.2); correspondence with L. Hawes on the same (.2).	0.40	130.00	52.00
03/25/19	MMO	Review returned mail and make updates to mailing records for K. Phelps reference (.6); review new claim received (.1); emails to K. Phelps on the same (.2).	0.90	130.00	117.00
03/26/19	MMO	Review new files received from Sherwood and prepare for further attorney review (.5); meet with L. Hawes on checks received from Sherwood (.1); review stock transfer agreements with L. Hawes and make notes for further review (.2).	0.80	130.00	104.00
03/27/19	MMO	Correspondence with L. Hawes on matter (.5); review forward contracts make updates to chart (1.3); review checks received from Sherwood, make inventory and prepare records for further use (.7); prepare K. Phelps letter to Sherwood for delivery (.2);	2.70	130.00	351.00
03/28/19	MMO	Correspondence with L. Hawes on contact list (.1); make updates and prepare for further attorney use (.1); call with investor (.1).	0.30	130.00	39.00
03/29/19	MMO	Review computer inventory with D. Davis (.2); meet with L. Hawes on the same (.2); email to Sherwood on documents for receiver reference (.1).	0.50	130.00	65.00
04/01/19	MMO	Prepare draft K. Phelps letter and supporting enclosures to Citizens Bank for her further edits (.4); Emails with K. Phelps in the same (.2); Prepare letter for delivery (.2); Review computer inventory with D. Davis and L. Hawes (.2); Email to Sherwood on	1.10	130.00	143.00

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		check details provided (.1).			
04/02/19	KBP	Review and respond to email regarding ██████████ claim, Palantir.	0.20	130.00	26.00
04/04/19	KBP	Review and respond to email regarding ██████████ claim.	0.20	130.00	26.00
04/05/19	MMO	Make updates to contact list and prepare letter for L. Hawes use.	0.40	130.00	52.00
04/05/19	MMO	Prepare draft letters for L. Hawes further use (.2); Complete research on general counsel contact information (.3).	0.50	130.00	65.00
04/08/19	MMO	Research contact details for general counsel and prepare letters for L. Hawes further edits.	0.60	130.00	78.00
04/09/19	KBP	Review and respond to Y. Li at Wells Fargo regarding account set up.	0.20	130.00	26.00
04/09/19	KBP	Set up online banking with WFB.	0.20	130.00	26.00
04/15/19	MMO	Review material and prepare report for K. Phelps use (.7); correspondence with L. Hawes and R. Bahadurji on the same (.4).	1.10	130.00	143.00
04/16/19	KBP	Return investor calls.	0.30	130.00	39.00
04/16/19	KBP	Review and respond to email from ██████████ regarding claim.	0.20	130.00	26.00
04/16/19	KBP	Communications with WFB regarding new accounts for both checking and brokerage.	0.30	130.00	39.00
04/17/19	MMO	Prepare letters on stocks for delivery, continued correspondence with K. Phelps and L. Hawes on the same.	0.60	130.00	78.00
04/22/19	KBP	Review and respond to email from ██████████ regarding claims.	0.20	130.00	26.00
04/23/19	KBP	Review and respond to ██████████ regarding inquiry regarding claim.	0.20	130.00	26.00
04/23/19	MMO	Prepare K. Phelps letter for delivery to stock holder (.2); Emails with K. Phelps and L. Hawes on the same (.2); Complete review of share information (.3).	0.70	130.00	91.00
04/24/19	MMO	Prepare documents received from Sherwood (.3); Prepare letters for delivery re shares (.3); Correspondence with L. Hawes and K. Phelps on the same (.2).	0.80	130.00	104.00
04/25/19	MMO	Review documents received from Sherwood and prepare for further attorney reference.	0.50	130.00	65.00
04/29/19	KBP	Review and respond to inquiry from ██████████ regarding claims, distribution.	0.20	130.00	26.00
04/29/19	KBP	Review and respond to ██████████ regarding confirmation of claims.	0.20	130.00	26.00
05/01/19	KBP	Review message and respond to inquiry from ██████████ regarding claim.	0.10	130.00	13.00
05/02/19	KBP	Review and respond to memo from ██████████ regarding confirmation of claim.	0.20	130.00	26.00
05/02/19	KBP	Communications with AST regarding Transfer package.	0.20	130.00	26.00
05/07/19	KBP	Telephone conference with ██████████ regarding claim for Palantir.	0.20	130.00	26.00
05/08/19	KBP	Update claims register, log new claims.	1.00	130.00	130.00
05/08/19	KBP	Update master service list, late claim register.	0.50	130.00	65.00
05/09/19	KBP	Log new claims received, update service list.	0.20	130.00	26.00
05/13/19	KBP	Log new proofs of claim, update lists.	0.60	130.00	78.00

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Date	Atty	Description	Hours	Rate	Amount
05/13/19	KBP	Review and respond to ██████ regarding receipt of claim.	0.10	130.00	13.00
05/13/19	KBP	Review and respond to email from ██████ regarding filed claims.	0.20	130.00	26.00
05/13/19	KBP	Review and respond to email from ██████ regarding claim.	0.20	130.00	26.00
05/14/19	KBP	Scan and log new claims filed.	0.40	130.00	52.00
05/16/19	MMO	Make edits to document for L. Hawes further use.	0.60	130.00	78.00
05/22/19	KBP	Review and update service list for all investors and creditors.	2.20	130.00	286.00
05/22/19	KBP	Send individualized emails to investors with investor numbers for claim identification purposes.	4.10	130.00	533.00
05/23/19	KBP	Additional emails to investors notifying of investor number.	3.00	130.00	390.00
05/23/19	KBP	Review and respond to email from ██████ regarding claim.	0.20	130.00	26.00
05/23/19	KBP	Review and respond to communications from ██████ regarding claim.	0.20	130.00	26.00
05/23/19	KBP	Review communications regarding ██████ contact information.	0.10	130.00	13.00
05/23/19	KBP	Review and respond to communication from ██████ regarding claim.	0.10	130.00	13.00
05/23/19	KBP	Review and respond to ██████ regarding claim.	0.10	130.00	13.00
05/23/19	KBP	Confirm service of Motion to Disallow Claims, serve additional addresses with Motion.	1.50	130.00	195.00
05/24/19	KBP	Telephone conference with ██████ regarding claim, status of receivership.	0.20	130.00	26.00
05/24/19	KBP	Review and respond to memo from ██████ regarding investor number.	0.10	130.00	13.00
05/24/19	KBP	Update service list from returned emails.	0.40	130.00	52.00
05/28/19	KBP	Communications with WFB and complete forms for transfer of shares to WFB.	0.50	130.00	65.00
05/29/19	KBP	Review correspondence from ██████ regarding contact information, update service list.	0.10	130.00	13.00
06/02/19	KBP	Prepare documents for website posting.	0.40	130.00	52.00
06/06/19	KBP	Communications with investors regarding investor number.	0.20	130.00	26.00
06/07/19	KBP	Review and respond to Investor C.L. regarding inquiry regarding claim.	0.10	130.00	13.00
06/07/19	KBP	Telephone conference with WFB, execute form for transfer.	0.40	130.00	52.00
06/24/19	KBP	Review and analyze investor claims, proportion of claimants in investor group, for response to Plan issues regarding committee.	2.80	130.00	364.00
06/24/19	KBP	Review email and log new address for investor.	0.10	130.00	13.00
06/25/19	KBP	Website management.	0.40	130.00	52.00
Total Fees			59.60		7,748.00

EXHIBIT C

Diamond McCarthy LLP

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Fee Recap

		Hours	Rate/Hour	Amount
Kathy B. Phelps	Partner	49.90	425.00	21,207.50
Totals		49.90		21,207.50

Fees

Date	Atty	Description	Hours	Rate	Amount
04/03/19	KBP	Draft follow up email to A. Israel regarding resolution to Progresso claim.	0.10	425.00	42.50
04/04/19	KBP	Telephone conference with ██████████ regarding filing claim, no notice of receivership.	0.30	425.00	127.50
04/04/19	KBP	Review ██████████ claim.	0.20	425.00	85.00
04/05/19	KBP	Telephone conference ██████████ regarding status of claim, distributions.	0.30	425.00	127.50
04/05/19	KBP	Review and forward ██████████ claim to SEC.	0.20	425.00	85.00
04/05/19	KBP	Draft communication to P. Hartheimer and Georgiana Nerdea regarding Cilano claim.	0.20	425.00	85.00
04/07/19	KBP	Review emails from P. Hartheimer regarding J. Cilano assertion of claim for backend fees.	0.10	425.00	42.50
04/08/19	KBP	Telephone conference with J. Yun regarding Cilano position regarding backend fees.	0.30	425.00	127.50
04/08/19	KBP	Telephone conference with A. Israeli regarding settlement with Progresso.	0.30	425.00	127.50
04/08/19	KBP	Review declaration of P. Sindhu regarding proof of claim.	0.20	425.00	85.00
04/09/19	KBP	Telephone conference with R. Forrest, C. Klein and J. Cilano regarding resolution of EAC issues, possible settlement.	1.40	425.00	595.00
04/10/19	KBP	Review and respond to communications from ██████████ ██████████ regarding claim, additional claim regarding Addepar.	0.20	425.00	85.00
04/12/19	KBP	Review emails from J. Yun and research ██████████ claim issue.	0.40	425.00	170.00
04/14/19	KBP	Draft memo to J. Buchman regarding no response of P. Sindhu.	0.10	425.00	42.50
04/14/19	KBP	Further draft of objections to claims.	0.40	425.00	170.00
04/14/19	KBP	Review and respond to email from ██████████ regarding late filed claim for Addepar.	0.20	425.00	85.00
04/14/19	KBP	Draft Progresso settlement agreement.	0.60	425.00	255.00
04/15/19	KBP	Telephone conference with ██████████ claim against ██████████ for not purchasing Jawbone stock	0.30	425.00	127.50
04/15/19	KBP	Draft memo to J. Syron regarding amount of Global Generation's claim.	0.10	425.00	42.50
04/15/19	KBP	Communications with J. Syron regarding Global Generation claim, review claim, confirm claim amount.	0.30	425.00	127.50

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Date	Atty	Description	Hours	Rate	Amount
04/15/19	KBP	Draft memo regarding █████ investments, no investment in Jawbone.	0.10	425.00	42.50
04/16/19	KBP	Telephone conference with counsel and C. Burrow regarding preparation of objections to claims.	1.00	425.00	425.00
04/16/19	KBP	Review and forward new █████ claim.	0.20	425.00	85.00
04/16/19	KBP	Review information from SEC, draft memo to █████ regarding no information regarding Jawbone investment by █████.	0.10	425.00	42.50
04/16/19	KBP	Review confession of judgment claims, draft memo regarding claims objection.	0.30	425.00	127.50
04/16/19	KBP	Draft counteroffer to Progresso Ventures.	0.70	425.00	297.50
04/16/19	KBP	Draft settlement agreement with Progresso.	0.60	425.00	255.00
04/17/19	KBP	Review communication from L. Hawes regarding comments to Progresso settlement offer, revise counteroffer letter.	0.30	425.00	127.50
04/19/19	KBP	Review information from D. Harivel regarding 20% profit sharing fee, information from Bivona regarding Palantir	0.30	425.00	127.50
04/19/19	KBP	Review updated claims register from SEC	0.20	425.00	85.00
04/20/19	KBP	Review and respond to email from D. Harivel regarding 20% carried interest issue.	0.30	425.00	127.50
04/21/19	KBP	Review emails from D. Harivel regarding management fees.	0.40	425.00	170.00
04/22/19	KBP	Review emails and documents from D. Harivel, forward to J. Yun for more information.	0.40	425.00	170.00
04/23/19	KBP	Telephone conference with S. Rosenberg of NY Attorney General regarding no known claim.	0.20	425.00	85.00
04/23/19	KBP	Review and forward communications regarding management fees, review memo from J. Yun regarding analysis of issue.	0.30	425.00	127.50
04/23/19	KBP	Draft memo to █████ regarding process of filing claim.	0.10	425.00	42.50
04/24/19	KBP	Review log and forward █████ proof of claim for Palantir.	0.30	425.00	127.50
04/24/19	KBP	Draft memo to E. Chen regarding treatment of Flurry investors on claims register.	0.10	425.00	42.50
04/25/19	KBP	Review and respond to memo from E. Chen regarding late filed claims.	0.20	425.00	85.00
04/25/19	KBP	Review and respond to correspondence from FTB regarding claim, receivership entities.	0.10	425.00	42.50
04/26/19	KBP	Draft email regarding Flurry investment, unsecured creditors.	0.10	425.00	42.50
04/26/19	KBP	Draft follow up email to A. Israeli regarding resolution of Progresso claim.	0.10	425.00	42.50
04/29/19	KBP	Telephone conference with counsel for P. Sindhu regarding claim, distribution plan.	0.20	425.00	85.00
04/29/19	KBP	Review communication from █████ regarding guaranty claim.	0.10	425.00	42.50
04/30/19	KBP	Modify Progresso settlement agreement.	0.20	425.00	85.00
05/01/19	KBP	Communications with Sherwood regarding seeking copies of late claims.	0.20	425.00	85.00

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Date	Atty	Description	Hours	Rate	Amount
05/02/19	KBP	Telephone conference with A. Israeli regarding settlement with Progresso.	0.30	425.00	127.50
05/02/19	KBP	Telephone conference with M. Bennett regarding [REDACTED] claim, objection to guaranty claims, status of distribution plan.	0.40	425.00	170.00
05/02/19	KBP	Draft Progresso settlement agreement.	1.50	425.00	637.50
05/03/19	KBP	Communications regarding claims objections, Eliv and Fortuna.	0.20	425.00	85.00
05/03/19	KBP	Telephone conference with J. Yun and E. Chen regarding claims objection issues, plan issues.	0.70	425.00	297.50
05/03/19	KBP	Telephone conference with C. Burrow regarding objections to claims, exhibits, issues.	0.40	425.00	170.00
05/03/19	KBP	Review [REDACTED] late claim.	0.30	425.00	127.50
05/04/19	KBP	Review memo from C. Burrow regarding failed investments.	0.20	425.00	85.00
05/04/19	KBP	Continue drafting objections to claims.	1.50	425.00	637.50
05/06/19	KBP	Review communications regarding possible claim from [REDACTED]	0.10	425.00	42.50
05/06/19	KBP	Review emails from M. Katz at SEC regarding Palantir claimants.	0.20	425.00	85.00
05/06/19	KBP	Prepare correspondence to Stretto and Sherwood regarding late claims, copies needed.	0.30	425.00	127.50
05/06/19	KBP	Review and respond to memo regarding terms of Progresso settlement.	0.20	425.00	85.00
05/06/19	KBP	Telephone conference with [REDACTED] regarding investment in Palantir, backend fees.	0.30	425.00	127.50
05/06/19	KBP	Review additional correspondence with Palantir creditors regarding filing claims.	0.10	425.00	42.50
05/06/19	KBP	Draft memo to E. Chen regarding 7% debt instruments, subordination of allowance.	0.20	425.00	85.00
05/06/19	KBP	Review new release language for Progresso settlement.	0.10	425.00	42.50
05/07/19	KBP	Analyze [REDACTED] claim, emails to claimant and SEC regarding disallowance of claim.	0.20	425.00	85.00
05/07/19	KBP	Review correspondence from J. Yun regarding Cilano claim.	0.10	425.00	42.50
05/07/19	KBP	Review correspondence from [REDACTED] regarding claim, review claims register, respond to claimant.	0.40	425.00	170.00
05/07/19	KBP	Review emails from M. Katz regarding [REDACTED] claim.	0.10	425.00	42.50
05/07/19	KBP	Draft memo to A. Israeli regarding Progresso settlement.	0.10	425.00	42.50
05/07/19	KBP	Telephone conference with [REDACTED] regarding filing claim, no notice of receivership.	0.20	425.00	85.00
05/07/19	KBP	Review [REDACTED] claim and documentation.	0.20	425.00	85.00
05/07/19	KBP	Evaluation of new Cilano claim, communications regarding documentation to support claim.	0.20	425.00	85.00
05/08/19	KBP	Review [REDACTED] claim.	0.20	425.00	85.00
05/08/19	KBP	Review and respond to communication from SEC regarding broker commissions.	0.10	425.00	42.50
05/08/19	KBP	Review claims of insiders and broker, inquiry to SEC regarding	0.40	425.00	170.00

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Date	Atty	Description	Hours	Rate	Amount
		insider claims.			
05/08/19	KBP	Draft email to J. Levine regarding request for list of brokers and introducers.	0.10	425.00	42.50
05/08/19	KBP	Communications with J. Yun regarding potential commission claims.	0.10	425.00	42.50
05/08/19	KBP	Review various communications from M. Katz regarding claimants, notice.	0.20	425.00	85.00
05/08/19	KBP	Draft Objection to Claims.	2.70	425.00	1,147.50
05/08/19	KBP	Review claim of ██████████.	0.20	425.00	85.00
05/09/19	KBP	Review claim of ██████████ regarding Palantir.	0.10	425.00	42.50
05/09/19	KBP	Review memo from L. Hawes regarding pending issues, share confirmation and claims objections.	0.10	425.00	42.50
05/09/19	KBP	Review various communications from SEC regarding claimants.	0.20	425.00	85.00
05/09/19	KBP	Review communication from J. Yun and revise claims objection regarding ██████████.	0.30	425.00	127.50
05/09/19	KBP	Communication with E. Chen regarding new claims, log new claims.	0.30	425.00	127.50
05/10/19	KBP	Review and forward claim of ██████████.	0.10	425.00	42.50
05/10/19	KBP	Review ██████████ claim.	0.20	425.00	85.00
05/10/19	KBP	Review and forward communication from ██████████ regarding claim in Facie Libre.	0.10	425.00	42.50
05/11/19	KBP	Review communications from SEC to potential claimants.	0.20	425.00	85.00
05/12/19	KBP	Review ██████████ proof of claim.	0.20	425.00	85.00
05/12/19	KBP	Review ██████████ Trust claim.	0.20	425.00	85.00
05/13/19	KBP	Review new proofs of claim filed.	0.50	425.00	212.50
05/13/19	KBP	Communication with J. Yun regarding claims objections, exhibits.	0.10	425.00	42.50
05/13/19	KBP	Review and respond to correspondence from ██████████ regarding ██████████ claim.	0.10	425.00	42.50
05/14/19	KBP	Review claim of ██████████.	0.20	425.00	85.00
05/14/19	KBP	Review amended claim of ██████████.	0.20	425.00	85.00
05/14/19	KBP	Analysis of ██████████ claim to evaluate basis of higher net investment number in claim, respond to SEC regarding amount.	0.20	425.00	85.00
05/14/19	KBP	Additional communications and documentation regarding claim of ██████████ prior payment.	0.20	425.00	85.00
05/14/19	KBP	Draft memo to ██████████ regarding disallowance of claim.	0.10	425.00	42.50
05/14/19	KBP	Telephone conference with S. Solar regarding bar date, ██████████ ██████████.	0.10	425.00	42.50
05/14/19	KBP	Review claim of estate of ██████████.	0.20	425.00	85.00
05/14/19	KBP	Claims administration issues, update lists and log claims filed.	1.00	425.00	425.00
05/15/19	KBP	Review and respond to email from J. Yun regarding filed claims.	0.10	425.00	42.50
05/16/19	KBP	Review communications from SEC regarding net v. gross amount of claims, verify ██████████ claim.	0.20	425.00	85.00

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Date	Atty	Description	Hours	Rate	Amount
05/16/19	KBP	Communications with L. Hawes regarding objections to claims, issues regarding guaranty claims and commissions.	0.20	425.00	85.00
05/16/19	KBP	Telephone conference with W. Smith regarding resolution with P. Sindhu.	0.50	425.00	212.50
05/17/19	KBP	Telephone conference with M. Langan regarding Eliv claim, funds with Bivona trustee.	0.60	425.00	255.00
05/17/19	KBP	Review email from Mary Langan regarding notes about Eliv claim forward to SEC with comment and request for additional information.	0.50	425.00	212.50
05/17/19	KBP	Review and analyze exhibits for claims objection, forward to counsel.	0.30	425.00	127.50
05/19/19	KBP	Draft motion to approve Progresso settlement.	1.00	425.00	425.00
05/20/19	KBP	Review updated claims register and investor information.	0.20	425.00	85.00
05/20/19	KBP	Review and forward ██████ proof of claim.	0.20	425.00	85.00
05/20/19	KBP	Review revisions made to Progresso settlement.	0.50	425.00	212.50
05/20/19	KBP	Review and respond to memo from J. Yun regarding Progresso settlement.	0.10	425.00	42.50
05/20/19	KBP	Review comments of L. Hawes to Progresso settlement.	0.10	425.00	42.50
05/21/19	KBP	Review and respond to memo regarding Progresso's position on priority payment.	0.10	425.00	42.50
05/21/19	KBP	Modify claims objections, prepare notice.	0.80	425.00	340.00
05/21/19	KBP	Communications with SEC regarding investor numbers, schedules for objections to claims.	0.30	425.00	127.50
05/21/19	KBP	Telephone conference with A. Israeli regarding Progresso settlement, plan issues.	1.10	425.00	467.50
05/21/19	KBP	Review correspondence from ██████ regarding explanations from J. Bivona regarding investments, nature of claim.	0.10	425.00	42.50
05/21/19	KBP	Draft email to J. Levine and E. Pritzker regarding motion to disallow claims.	0.10	425.00	42.50
05/21/19	KBP	Draft email to E. Chen regarding questions regarding exhibits for motion to disallow claims, verification of late claims.	0.10	425.00	42.50
05/21/19	KBP	Further edits to motion to disallow claims, add language regarding late filed claims.	0.50	425.00	212.50
05/22/19	KBP	Review revised spreadsheets regarding claims, communication with E. Chen regarding late claims and spreadsheets.	0.40	425.00	170.00
05/22/19	KBP	Telephone conference with J. Levine regarding revisions to claims objections.	0.20	425.00	85.00
05/22/19	KBP	Draft declaration and order regarding claims objections.	1.40	425.00	595.00
05/23/19	KBP	Review and finalize motion to disallow claims, order, declaration and exhibits.	0.50	425.00	212.50
05/23/19	KBP	Telephone conference with W. Smith regarding settlement with P. Sindhu.	0.30	425.00	127.50
05/23/19	KBP	Review correspondence from S. Soler regarding claim of Fortuna	0.20	425.00	85.00

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Date	Atty	Description	Hours	Rate	Amount
		Fund.			
05/23/19	KBP	Review and respond to inquiry by ██████ regarding objections to claim, research and respond.	0.20	425.00	85.00
05/23/19	KBP	Review and respond to inquiry from ██████ regarding claim, representation and assets.	0.10	425.00	42.50
05/23/19	KBP	Review service for ██████ correct and revise motion to disallow.	0.10	425.00	42.50
05/24/19	KBP	Telephone conference with ██████ regarding investment in Kumbaya.	0.20	425.00	85.00
05/24/19	KBP	Telephone conference with ██████ regarding claim, objection to claim.	0.20	425.00	85.00
05/24/19	KBP	Review email from ██████ regarding dispute regarding payment to nonreceivership entity.	0.10	425.00	42.50
05/24/19	KBP	Review and respond to email from ██████ regarding inquire regarding nonreceivership entity, basis of objection to claim.	0.20	425.00	85.00
05/24/19	KBP	Telephone conference with ██████ regarding objections to claims.	0.20	425.00	85.00
05/24/19	KBP	Review and respond to email from ██████ regarding status of claim, claims objections.	0.20	425.00	85.00
05/26/19	KBP	Review and respond to memo from ██████ regarding motion to disallow, failed investments.	0.10	425.00	42.50
05/27/19	KBP	Draft follow up request to SEC regarding Eliv claim.	0.10	425.00	42.50
05/27/19	KBP	Review and respond to email from ██████ regarding inquiry regarding failed investment, distribution.	0.10	425.00	42.50
05/28/19	KBP	Review and respond to follow up email from ██████ regarding objection to failed investment claims.	0.10	425.00	42.50
05/28/19	KBP	Review and respond to correspondence from W. Smith regarding settlement of Sindhu claim.	0.40	425.00	170.00
05/29/19	KBP	Review information regarding P. Sindhu claim, wire, request additional information from W. Smith.	0.20	425.00	85.00
05/29/19	KBP	Review email relating to claim of ██████, investigate transaction to prepare response.	0.30	425.00	127.50
05/29/19	KBP	Communications with SEC regarding previously disbursed claims, review claims to evaluate.	0.20	425.00	85.00
05/30/19	KBP	Review and respond to email from W. Smith regarding P. Sindhu claim.	0.10	425.00	42.50
05/31/19	KBP	Review information on Failed Investments.	0.50	425.00	212.50
05/31/19	KBP	Review email from investor with inquiry regarding failed investment.	0.10	425.00	42.50
06/02/19	KBP	Review and respond to email from investor regarding Addepar, motion to disallow.	0.20	425.00	85.00
06/02/19	KBP	Review documentation from SEC regarding Sindhu claim and draft memo regarding request for more information.	0.20	425.00	85.00
06/03/19	KBP	Review and analyze email and documents regarding claim of P.	0.30	425.00	127.50

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Date	Atty	Description	Hours	Rate	Amount
		Sindhu.			
06/03/19	KBP	Review documentation fro SEC regarding failed investments, review and respond to email from T. So regarding request for information regarding failed investments.	0.50	425.00	212.50
06/03/19	KBP	Review information from G. Nertaie regarding failed investments.	0.10	425.00	42.50
06/03/19	KBP	Review documentation relating to Sindhu claim, draft email to W. Smith regarding disallowance of claim.	0.50	425.00	212.50
06/05/19	KBP	Review and respond to proposal from W. Smith regarding P. Sindhu claim.	0.30	425.00	127.50
06/05/19	KBP	Review and respond to additional email from W. Smith regarding claim of P. Sindhu.	0.20	425.00	85.00
06/06/19	KBP	Telephone conference with M. Bennett regarding claim of [REDACTED]	0.20	425.00	85.00
06/06/19	KBP	Review and revise stipulation regarding Progresso claim.	0.80	425.00	340.00
06/06/19	KBP	Review correspondence from J. Yun regarding Cilano claim.	0.10	425.00	42.50
06/07/19	KBP	Review and respond to memo from J. Bivona regarding Groupon shares.	0.10	425.00	42.50
06/07/19	KBP	Review and revise stipulation with Progresso.	0.40	425.00	170.00
06/07/19	KBP	Additional modifications to Progresso stipulation.	0.30	425.00	127.50
06/24/19	KBP	Telephone conference with [REDACTED] regarding claim, Saddle River Profit Opportunity, Cilano and back end fees.	0.40	425.00	170.00
06/26/19	KBP	Review and respond to memo regarding Sindhu claim statute of limitations to avoid claim.	0.10	425.00	42.50
06/27/19	KBP	Draft memo to W. Smith regarding claim of P. Sindhu.	0.10	425.00	42.50
06/27/19	KBP	Review entered orders on claims.	0.10	425.00	42.50
Total Fees			49.90		21,207.50

EXHIBIT D

Diamond McCarthy LLP

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Fee Recap

		Hours	Rate/Hour	Amount
Kathy B. Phelps	Partner	34.80	425.00	14,790.00
Totals		34.80		14,790.00

Fees

Date	Atty	Description	Hours	Rate	Amount
04/01/19	KBP	Send follow up email to B. Sabrin.	0.10	425.00	42.50
04/01/19	KBP	Send follow email to R. Forrest regarding EAC.	0.10	425.00	42.50
04/01/19	KBP	Review communications from Georgiana regarding Practice Fusion checks.	0.10	425.00	42.50
04/01/19	KBP	Telephone conference with P. Hartheimer, Georgiana, J. Cotton, L. Hawes regarding location of stock.	0.80	425.00	340.00
04/01/19	KBP	Revise letter to Citizens Bank regarding Practice Fusion checks.	0.20	425.00	85.00
04/01/19	KBP	Review summaries of stock from Sherwood.	0.20	425.00	85.00
04/02/19	KBP	Telephone conference with L. Hawes regarding summary of assets, Solis Fund, revised plan.	0.30	425.00	127.50
04/03/19	KBP	Draft follow up email to R. Forrest regarding EAC stipulation.	0.10	425.00	42.50
04/05/19	KBP	Send follow up to R. Forrest regarding meeting regarding EAC.	0.10	425.00	42.50
04/05/19	KBP	Review letter regarding ██████ audit, research information, response to audit letter.	0.40	425.00	170.00
04/08/19	KBP	Review and respond to email from R. Forrest regarding disputes with EAC.	0.30	425.00	127.50
04/09/19	KBP	Review docket in Duggan case regarding Badgeville lawsuit.	0.20	425.00	85.00
04/09/19	KBP	Gather facts regarding Progresso and EAC settlements.	0.70	425.00	297.50
04/10/19	KBP	Send memo to SEC regarding Duggan litigation.	0.10	425.00	42.50
04/10/19	KBP	Review ZocDoc information, draft letter to ZocDoc regarding confirmation of shares.	0.40	425.00	170.00
04/10/19	KBP	Review and respond to email from J. Yun regarding accounting regarding ZocDoc shares.	0.20	425.00	85.00
04/10/19	KBP	Review and respond to communication regarding Duggan lawsuit.	0.10	425.00	42.50
04/10/19	KBP	Review additional information from SEC regarding Duggan, respond.	0.20	425.00	85.00
04/14/19	KBP	Review documents from Sherwood, no confirmation letters found, email to P. Hartheimer regarding confirmation letters.	0.40	425.00	170.00
04/14/19	KBP	Send follow email to B. Sabrin regarding MongoSB shares.	0.10	425.00	42.50
04/15/19	KBP	Review and log Practice Fusion checks from Citizens Bank.	0.30	425.00	127.50
04/15/19	KBP	Draft correspondence to J. McChesney regarding moving Bloom, Cloudera, Snap, DB Mongo to WFB account.	0.30	425.00	127.50
04/16/19	KBP	Prepare summary of terms of settlement with EAC.	0.80	425.00	340.00
04/16/19	KBP	Draft memo to C. Klein regarding analysis of Group B share	0.90	425.00	382.50

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Date	Atty	Description	Hours	Rate	Amount
		issues.			
04/16/19	KBP	Draft letter to R. Forrest and C. Klein regarding resolution of EAC issues.	1.10	425.00	467.50
04/16/19	KBP	Communications with AST and WFB regarding transfer of shares.	0.30	425.00	127.50
04/16/19	KBP	Review C. Klein documents, additional communications from SEC on EAC issues.	0.30	425.00	127.50
04/16/19	KBP	Draft memo to E. Chen regarding questions regarding EAC transactions, JVB account.	0.20	425.00	85.00
04/16/19	KBP	Email to G. Messer regarding Bivona bankruptcy, notice on proof of claim, meeting.	0.20	425.00	85.00
04/17/19	KBP	Communication with counsel regarding confirmation of securities.	0.20	425.00	85.00
04/17/19	KBP	Review forward contracts, pleadings, and documents to confirm stock holdings of receivership entities, revise summary.	3.50	425.00	1,487.50
04/17/19	KBP	Draft letter to Addepar and Evernote regarding confirmation of stock interests.	0.50	425.00	212.50
04/17/19	KBP	Review settlement in JVB bankruptcy case.	0.20	425.00	85.00
04/17/19	KBP	Review correspondence from WFB regarding Bloom Energy shares at AST.	0.20	425.00	85.00
04/17/19	KBP	Review communications regarding Bloom shares, emails to Sherwood regarding location of new account and shares of Bloom.	0.40	425.00	170.00
04/17/19	KBP	Prepare list of issues and factual support regarding EAC issues.	0.50	425.00	212.50
04/19/19	KBP	Review emails from Sherwood, draft response regarding transfer of securities at AST.	0.40	425.00	170.00
04/19/19	KBP	Review comments fro E. Chen regarding EAC allocation, telephone conference with E. Chen to discuss remaining issues.	0.40	425.00	170.00
04/20/19	KBP	Further work on identification of securities.	0.50	425.00	212.50
04/22/19	KBP	Draft letter to Gaustella regarding confirmation of ZocDoc shares.	0.30	425.00	127.50
04/22/19	KBP	Evaluate interests in forward contracts.	0.40	425.00	170.00
04/23/19	KBP	Review and send communications regarding Bloom shares at AST, transition of other shares to new receiver.	0.40	425.00	170.00
04/23/19	KBP	Review accounting of Group B shares, new information on Pinterest transactions.	0.30	425.00	127.50
04/23/19	KBP	Review and edit letter to Chasm regarding Lookout shares.	0.30	425.00	127.50
04/23/19	KBP	Additional communications with AST regarding transfer and conversion of shares.	0.30	425.00	127.50
04/23/19	KBP	Review confirmation letter from M. Sperling regarding Addepar shares.	0.10	425.00	42.50
04/24/19	KBP	Communications with counsel regarding confirmation of securities.	0.40	425.00	170.00
04/24/19	KBP	Review Bivona trustee stipulation with A. Bivona.	0.10	425.00	42.50
04/24/19	KBP	Modify email to R. Forrest and C. Klein regarding remaining issues to resolve EAC dispute.	0.50	425.00	212.50
04/25/19	KBP	Telephone conference with A. Rustigi regarding Chasm sale of Landon, Lookout forward contract.	0.40	425.00	170.00

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Date	Atty	Description	Hours	Rate	Amount
04/25/19	KBP	Review correspondence from G. Nertea regarding no information regarding Lookout shares.	0.10	425.00	42.50
04/25/19	KBP	Draft correspondence to A. Rustigi regarding Lookout forward contract.	0.10	425.00	42.50
04/25/19	KBP	Review and respond to memo from E. Chen regarding Bloom Energy shares.	0.10	425.00	42.50
04/25/19	KBP	Analysis and emails regarding Lookout shares.	0.20	425.00	85.00
04/25/19	KBP	Review Chasm/Landon agreement, respond to E. Chen regarding issues with Lookout shares.	0.20	425.00	85.00
04/25/19	KBP	Review letter from Evernote confirming shares.	0.10	425.00	42.50
04/26/19	KBP	Analysis of Palantir shares, review information regarding stock certificates, communication with E. Chen.	0.40	425.00	170.00
04/26/19	KBP	Prepare letter to Lookout regarding confirmation of shares.	0.30	425.00	127.50
04/26/19	KBP	Review Palantir documentation, communications with J. Yun, prepare letter to Gunderson regarding confirmation of shares.	0.50	425.00	212.50
04/30/19	KBP	Telephone conference with F. Stevens regarding possible settlement regarding garnished Bivona Esq account.	0.40	425.00	170.00
04/30/19	KBP	Telephone conference with G. Messer regarding Bivona estate, Progresso funds.	0.10	425.00	42.50
05/03/19	KBP	Review position letter from F. Stevens regarding Bivona escrow account.	0.20	425.00	85.00
05/06/19	KBP	Review and respond to email from R. Forrest regarding settlement discussions with EAC.	0.50	425.00	212.50
05/06/19	KBP	Telephone conference with J. Wyatt regarding Lookout shares.	0.20	425.00	85.00
05/07/19	KBP	Review and respond to M. Coffelt regarding valuation of Palantir.	0.10	425.00	42.50
05/07/19	KBP	Aggregate data for correspondence to J. Levine regarding EAC shares, claims made for Pinterest, Airbnb, Lyft and ZocDoc.	0.30	425.00	127.50
05/08/19	KBP	Review and respond to email from J. Levine regarding no confirmation of ZocDoc shares.	0.10	425.00	42.50
05/09/19	KBP	Review and respond to information from J. Levine regarding Lookout shares, no confirmation of forward contract.	0.20	425.00	85.00
05/10/19	KBP	Review Lookout forward contract information.	0.30	425.00	127.50
05/13/19	KBP	Review Lookout forward contract information, draft email to J. Wyatt regarding confirmation of shares.	0.40	425.00	170.00
05/13/19	KBP	Draft follow email to R. Forrest regarding EAC settlement.	0.10	425.00	42.50
05/14/19	KBP	Review and respond to emails from J. Levine regarding confirmation of shares in ZocDoc and Lookout.	0.10	425.00	42.50
05/17/19	KBP	Review and respond to correspondence from R. Forrest regarding terms of settlement with EAC.	0.70	425.00	297.50
05/17/19	KBP	Review and respond to memo from C. Klein regarding Badgeville longsheet.	0.10	425.00	42.50
05/21/19	KBP	Review and respond to email from R. Forrest regarding resolution of EAC issues.	0.50	425.00	212.50

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Date	Atty	Description	Hours	Rate	Amount
05/21/19	KBP	Send follow up email to Lookout counsel regarding confirmation of stock interest.	0.10	425.00	42.50
05/23/19	KBP	Review documentation on Badgeville guarantees.	0.20	425.00	85.00
05/27/19	KBP	Review Lookout forward contract, draft memo regarding evaluation of estate's interest.	0.40	425.00	170.00
05/28/19	KBP	Communications with counsel regarding ZocDoc shares.	0.10	425.00	42.50
05/28/19	KBP	Communications with counsel and review information regarding transaction with Chasm and Landon Capital.	0.50	425.00	212.50
05/28/19	KBP	Draft email to R. Forrest regarding response on EAC settlement issues.	0.50	425.00	212.50
05/28/19	KBP	Communications with counsel regarding confirmation of Lookout shares.	0.20	425.00	85.00
05/29/19	KBP	Review and comment on amended proof of claim in Bivona case.	0.20	425.00	85.00
05/30/19	KBP	Communications regarding Bivona proof of claim, execute form.	0.20	425.00	85.00
05/31/19	KBP	Review communication from Y. Li regarding restriction on Mongo shares.	0.10	425.00	42.50
05/31/19	KBP	Send email to N. Brown and AST regarding removal of restriction on Mongo shares.	0.10	425.00	42.50
06/03/19	KBP	Review email from AST regarding restrictions on Mongo DB stock.	0.10	425.00	42.50
06/03/19	KBP	Review investment banking proposals from SEC, emails to possible valuation companies.	0.50	425.00	212.50
06/04/19	KBP	Review and forward memo regarding restrictions on Mongo DB shares.	0.10	425.00	42.50
06/04/19	KBP	Review proposed attorney letter to Guastella regarding ZocDoc shares.	0.10	425.00	42.50
06/05/19	KBP	Telephone conference with R. Forrest and K. Klein regarding resolution with EAC.	0.80	425.00	340.00
06/05/19	KBP	Review and respond to email from C. Higgins regarding questions to prepare valuation proposal.	0.20	425.00	85.00
06/05/19	KBP	Telephone conference with CBIZ regarding valuation proposal.	0.50	425.00	212.50
06/06/19	KBP	Review notes from call with J. Bivona, draft email regarding request for information.	0.30	425.00	127.50
06/06/19	KBP	Review and approve letter to D. Feldman regarding Lookout shares.	0.10	425.00	42.50
06/06/19	KBP	Draft email to M. Morris of Baker Tilly regarding valuation of stock.	0.30	425.00	127.50
06/06/19	KBP	Draft email to SEC regarding stock valuation, available information.	0.10	425.00	42.50
06/07/19	KBP	Review and respond to email from C. Higgins regarding proposal for stock valuation.	0.20	425.00	85.00
06/07/19	KBP	Telephone conference with M. Morris of Baker Tilly regarding valuation of stock.	0.30	425.00	127.50
06/07/19	KBP	Review stock valuation documentation filed in case, forward to	0.30	425.00	127.50

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Date	Atty	Description	Hours	Rate	Amount
		professionals for proposal on stock valuation.			
06/10/19	KBP	Review and modify language in letter regarding restrictions on MondoDB shares.	0.20	425.00	85.00
06/11/19	KBP	Communications regarding restrictions on MongoDB stock.	0.20	425.00	85.00
06/18/19	KBP	Communications regarding restrictions on MongoDB, transfer to Wells Fargo.	0.40	425.00	170.00
06/18/19	KBP	Communications with J. Yun regarding Progresso stipulation, funds in Esq account.	0.20	425.00	85.00
06/18/19	KBP	Review memo regarding Lookout shares, Landon Capital.	0.10	425.00	42.50
06/20/19	KBP	Draft email to C. Klein regarding status of EAC settlement.	0.10	425.00	42.50
06/24/19	KBP	Review information regarding Lookout shares, communications with D. Feldman.	0.10	425.00	42.50
06/24/19	KBP	Review letter from A. Gorman confirming Palantir shares.	0.10	425.00	42.50
06/24/19	KBP	Review correspondence from R. Forrest regarding settlement with EAC, terms regarding Badgeville guarantees.	0.10	425.00	42.50
06/24/19	KBP	Review and respond to email from C. Higgins regarding valuation proposal from CBIZ.	0.10	425.00	42.50
06/26/19	KBP	Review and respond to memo from R. Forrest regarding remaining issues for settlement with EAC.	0.20	425.00	85.00
06/26/19	KBP	Review information regarding Capital Truth, EAC.	0.20	425.00	85.00
06/26/19	KBP	Communication with M. Morris regarding valuation proposal.	0.10	425.00	42.50
06/28/19	KBP	Review and forward memo from M. Morris regarding information needed for valuation.	0.10	425.00	42.50
Total Fees			34.80		14,790.00

EXHIBIT E

Diamond McCarthy LLP

Kathy Bazoian Phelps, Receiver
 I.D. 2598-00016 - KBP
 Re: Plan Implementation

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Fee Recap

		Hours	Rate/Hour	Amount
Kathy B. Phelps	Partner	4.50	0.00	0.00
Kathy B. Phelps	Partner	70.10	425.00	29,792.50
Totals		74.60		29,792.50

Fees

Date	Atty	Description	Hours	Rate	Amount
04/05/19	KBP	Begin drafting comments regarding distribution plan.	1.30	425.00	552.50
04/16/19	KBP	Review correspondence from D. Harivel regarding position on liquidation of stock, plan.	0.30	425.00	127.50
04/24/19	KBP	Prepare chart of surplus deficiencies based on confirmed shares and claims made.	1.10	425.00	467.50
04/28/19	KBP	Draft Comments to Distribution Plan.	3.20	425.00	1,360.00
05/07/19	KBP	Telephone conference with P. Schrage, J. Yun and Ellen Chen regarding meet and confer on objections to claims and distribution plan issues.	1.50	425.00	637.50
05/07/19	KBP	Meet and confer with J. Pritzker regarding objections to claim, comments to plan.	0.80	425.00	340.00
05/07/19	KBP	Telephone conference with J. Levine regarding meet and confer on plan issues, objections to claims.	1.60	425.00	680.00
05/07/19	KBP	Review documentation for accountant's analysis of tax consequences of plan.	0.30	425.00	127.50
05/09/19	KBP	Draft memo regarding issues regarding Plan, Objections to claims, legal research needed, pending issues and matters.	0.70	425.00	297.50
05/14/19	KBP	Draft memo to Kermith regarding tax consequences of plan.	0.10	425.00	42.50
05/14/19	KBP	Communications with J. Levine and SEC regarding meet and confer.	0.10	425.00	42.50
05/14/19	KBP	Review and respond to memo from J. Yun regarding tax consequences of plan.	0.10	425.00	42.50
05/15/19	KBP	Review and respond to memo from Kermith regarding tax consequences of plan.	0.10	425.00	42.50
05/20/19	KBP	Draft memo to Kermith regarding tax analysis on plan.	0.10	425.00	42.50
05/20/19	KBP	Review proposed plan from SEC and provide comments.	0.90	425.00	382.50
05/20/19	KBP	Review tax analysis of plan from accountant and draft questions in response.	0.70	425.00	297.50
05/21/19	KBP	Review lawyer analysis of tax consequences of plan.	0.20	425.00	85.00
05/21/19	KBP	Communications with L. Hawes regarding plan and tax issues.	0.20	425.00	85.00
05/21/19	KBP	Review revised plan comments from SEC.	0.30	425.00	127.50
05/21/19	KBP	Review and respond to memos regarding SEC plan.	0.20	425.00	85.00
05/21/19	KBP	Telephone conference with accountant and counsel regarding tax	1.00	425.00	425.00

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Date	Atty	Description	Hours	Rate	Amount
		consequences for plan.			
05/21/19	KBP	Telephone conference with J. Levine and E. Pritzker regarding meet and confer on plan and objections to claims.	0.80	425.00	340.00
05/21/19	KBP	Follow up email to A. Israeli regarding meet and confer regarding plan.	0.10	425.00	42.50
05/22/19	KBP	Telephone conference with SEC regarding meet and confer on plan issues.	1.40	425.00	595.00
05/23/19	KBP	Review correspondence from J. Yun regarding opinion on distribution plan.	0.10	425.00	42.50
05/24/19	KBP	Meet and confer with SEC, Investor Group and Progresso regarding plan.	1.30	425.00	552.50
05/24/19	KBP	Draft outline of proposed new plan.	0.50	425.00	212.50
05/24/19	KBP	Review summary of proposal, figure from SEC.	0.10	425.00	42.50
05/24/19	KBP	Continue drafting Distribution Plan.	1.40	425.00	595.00
05/26/19	KBP	Send emails to invite parties for meet and confer on plan issues.	0.20	425.00	85.00
05/27/19	KBP	Draft language for recitals regarding assets and claims for distribution plan.	0.70	425.00	297.50
05/28/19	KBP	Draft memos to Kermith regarding tax analysis.	0.20	425.00	85.00
05/28/19	KBP	Draft Receiver's Distribution Plan.	3.00	425.00	1,275.00
05/28/19	KBP	Draft email to Sherwood regarding administrative claims, trust fund tax claims referenced in prior plans.	0.10	425.00	42.50
05/28/19	KBP	Review and respond to memo from accountant regarding QSF, tax consequences to plan.	0.50	425.00	212.50
05/29/19	KBP	Communications regarding tax consequences of plan, with SEC and tax advisor.	0.20	425.00	85.00
05/29/19	KBP	Telephone conference with Kermith regarding tax consequences of plan.	0.60	425.00	255.00
05/29/19	KBP	Meet and confer with parties on form of distribution plan.	1.30	425.00	552.50
05/29/19	KBP	Telephone conference with A. Israeli regarding settlement with Progresso, plan issues.	0.50	425.00	212.50
05/29/19	KBP	Review additional tax analysis from Kermith.	0.20	425.00	85.00
05/29/19	KBP	Telephone conference with J. Yun and P. Schrage regarding tax issues, issues in plan.	0.40	425.00	170.00
05/29/19	KBP	Draft memo to Kermith regarding tax issues, draft language for plan.	0.20	425.00	85.00
05/29/19	KBP	Review correspondence from Nertaie regarding no trust fund tax claims.	0.10	425.00	42.50
05/29/19	KBP	Review counsel's revisions to plan, make additional revisions, communications with SEC and Investor Group regarding Plan.	2.30	425.00	977.50
05/29/19	KBP	Review and respond to email from ██████ regarding inquiry regarding distribution plan.	0.10	425.00	42.50
05/30/19	KBP	Telephone conference with Kermith regarding QSF returns, tax issues regarding plan.	0.40	425.00	170.00

Diamond McCarthy LLP

Kathy Bazoian Phelps, Receiver
 I.D. 2598-00016 - KBP
 Re: Plan Implementation

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Date	Atty	Description	Hours	Rate	Amount
05/30/19	KBP	Review correspondence from E. Chen regarding Jump Tap disbursement information, respond to inquiry from investor regarding Jump Tap information.	0.40	425.00	170.00
05/30/19	KBP	Telephone conference with J. Damsasco, J. Yun and K. Bothil regarding tax consequences of plan.	1.00	425.00	425.00
05/30/19	KBP	Communications with J. Levine regarding tax consequences of plan.	0.40	425.00	170.00
05/30/19	KBP	Additional revisions to plan based on new tax information, feedback from SEC.	1.70	425.00	722.50
05/31/19	KBP	Review and respond to correspondence from J. Yun regarding questions regarding plan, make revisions to plan.	0.70	425.00	297.50
05/31/19	KBP	Review and respond to D. Harivel regarding information regarding assets and claims, plan.	0.10	425.00	42.50
05/31/19	KBP	Telephone conference with SEC regarding Plan issues.	0.60	425.00	255.00
05/31/19	KBP	Additional revisions to Plan, circulate to interested parties.	1.50	425.00	637.50
06/02/19	KBP	Draft memo regarding preparation of exhibits for Plan.	0.30	425.00	127.50
06/03/19	KBP	Telephone conference with A. Israeli regarding comments to plan, stipulation regarding Progresso claim.	0.80	425.00	340.00
06/03/19	KBP	Review correspondence from W. Smith regarding comments on plan.	0.10	425.00	42.50
06/04/19	KBP	Review and respond to memo regarding exhibits for plan.	0.20	425.00	85.00
06/04/19	KBP	Review and respond to memo regarding comments on plan.	0.10	425.00	42.50
06/04/19	KBP	Telephone conference N. Son regarding distribution plan, interest of client in Palantir.	0.30	425.00	127.50
06/04/19	KBP	Draft memo regarding exhibits for Plan, unsecured claims.	0.40	425.00	170.00
06/04/19	KBP	Revisions to Exhibit 1 for plan.	0.10	425.00	42.50
06/04/19	KBP	Draft memo to W. Smith regarding plan, claim of Sindhu.	0.20	425.00	85.00
06/04/19	KBP	Revise plan regarding payments to creditors.	0.50	425.00	212.50
06/05/19	KBP	Revisions to Plan, communications with J. Levine.	0.50	425.00	212.50
06/05/19	KBP	Review and comment on exhibits for Plan.	0.80	425.00	340.00
06/05/19	KBP	Review and incorporate plan revisions from A. Israeli.	0.70	425.00	297.50
06/05/19	KBP	Telephone conference with A. Israeli regarding revised plan, 50% floor.	0.30	425.00	127.50
06/05/19	KBP	Review emails from J. Yun regarding comments on Plan regarding taxes, exhibits.	0.10	425.00	42.50
06/05/19	KBP	Telephone conference with J. Levine regarding plan issues.	1.50	425.00	637.50
06/05/19	KBP	Telephone conference with A. Israeli regarding resolution regarding Progresso claim, plan.	0.20	425.00	85.00
06/05/19	KBP	Make modifications to plan per W. Smith's suggestions.	0.40	425.00	170.00
06/05/19	KBP	Draft Comments to Plan and revise plan for June 6 deadline.	4.80	425.00	2,040.00
06/06/19	KBP	Telephone conference with A. Israeli regarding Progresso position on plan, resolution of claim amount.	1.20	425.00	510.00

Diamond McCarthy LLP

Kathy Bazoian Phelps, Receiver
 I.D. 2598-00016 - KBP
 Re: Plan Implementation

July 22, 2019
 Invoice 32993
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Date	Atty	Description	Hours	Rate	Amount
06/06/19	KBP	Telephone conference with SEC regarding plan issues, communications with creditors and investor group.	0.80	425.00	340.00
06/06/19	KBP	Revise Comments to Plan based on new information and comments from parties.	2.20	425.00	935.00
06/06/19	KBP	Communications with SEC regarding position on plan regarding subordinated and disallowed claims.	0.20	425.00	85.00
06/06/19	KBP	Finalize Comments and Plan, revisions based on additional comments.	2.50	425.00	1,062.50
06/06/19	KBP	Review email from W. Smith, Objection to SEC plan.	0.20	425.00	85.00
06/10/19	KBP	Review and respond to inquiry regarding plan.	0.10	425.00	42.50
06/11/19	KBP	Review and respond to email from J. Levine regarding investor question regarding plan.	0.20	425.00	85.00
06/20/19	KBP	Review response of Investor Group to distribution plan and comments.	0.20	425.00	85.00
06/25/19	KBP	Telephone conference with SEC regarding response to Investor Group regarding Plan, issues with EAC.	0.50	425.00	212.50
06/25/19	KBP	Review SEC Reply to Investor Group response to Plan.	0.20	425.00	85.00
06/26/19	KBP	Telephone conference with A. Israeli regarding plan issues, Progresso position.	0.60	425.00	255.00
06/26/19	KBP	Telephone conference with J. Yun regarding issues with Plan, EAC.	0.30	425.00	127.50
06/26/19	KBP	Prepare for hearing on distribution plan, objections to claims.	1.80	425.00	765.00
06/27/19	KBP	Travel to S.F. and attend hearing on plan of distribution and motion to disallow claims, including meeting with SEC, Progresso.	8.50	425.00	3,612.50
06/27/19	KBP	Travel from S.F. to L.A. from plan hearing. (NO CHARGE)	4.50	0.00	0.00
06/28/19	KBP	Review and forward memo from E. Ptrizker regarding opinion regarding tax issues.	0.10	425.00	42.50
06/28/19	KBP	Draft memo to J. Damsco regarding tax opinion regarding plan.	0.30	425.00	127.50
Total Fees			74.60		29,792.50

EXHIBIT F

**Cash Disbursements Receivership Estate of SRA Management Associates, LLC et al
2ns Quarter 2019**

Checking #0063	Deposits	Withdrawals	Balance	
4/17/2019	\$106,555.21		\$106,555.21	transfer from Sherwood account
4/17/2019	\$15,500.09		\$122,055.30	Practice Fusion checks deposited
4/26/2019		\$28,273.57	93,781.73	4Q fees to Sherwood Consulting per Court order
4/26/2019		\$41,882.72	51,889.01	3Q 2018 fees of \$26,647.50 and 4Q 2018 fees of \$15,235.22 for Gartenberg Gelfand Hayton LLP
6/11/2019	\$99,993		\$151,892.01	transfer from 2849
Brokerage #2849 (Mutual Fund)				
4/1/2019			\$379,692.76	
5/1/2019	\$732.11			dividend reinvested
6/3/2019	750.58			dividend reinvested
6/11/2019		99,993.00		sell and transfer to checking #0063
6/28/2019	\$0.68		\$281,183.13	dividend reinvested
Brokerage #7306 (Anna Bivona funds)				
4/1/2019			\$501,393.47	
4/30/2019	\$103.03			dividend reinvested
5/30/2019	\$106.48			dividend reinvested
6/28/2019	\$133.30		\$501,736.28	dividend reinvested

**Cash Position of Receivership Estate of SRA Management Associates, LLC et al
As of June 30, 2019**

Cash		
Checking		\$151,892.01
Money Market		\$281,183.13
Anna Bivona Funds		\$501,736.28
	Subtotal	\$934,811.42
Known Accrued and Unpaid Expenses		
JND/Stretto		\$2,598.40
Kathy Bazoian Phelps, Receiver (2nd Qtr 2019)		\$85,735.50
Kathy Bazoian Phelps, Receiver - Expenses (2nd Qtr 2019)		\$185.93
Diamond McCarthy LLP (2nd Qtr 2019)		\$30,625.00
Diamond McCarthy LLP - Expenses (2nd Qtr 2019)		\$1,924.16
	Subtotal	\$121,068.99
Holdbacks		
Sherwood Partners, Former Receiver		\$144,627.51
Kathy Bazoian Phelps, Receiver		\$9,257.40
Diamond McCarthy		\$3,360.56
	Subtotal	\$157,245.47
	Net Remaining Funds	\$656,496.96

EXHIBIT G

Receivership; Civil Court Docket No. 3:16-cv-01386-EMC

Reporting Period 04/01/2019 to 06/30/2019

FUND ACCOUNTING (See Instructions):				
		Detail	Subtotal	Grand Total
Line 1	Beginning Balance (As of 04/01/2019):			\$ 987,641.44
	Wells Fargo Bank Checking		106,555.21	
	Wells Fargo Mutual Fund		379,692.76	
	Wells Fargo Bank - Anna Bivona funds		501,393.47	
	Increases In Fund Balance:			117,319.27
Line 2	Business Income			
Line 3	Cash and Securities			
Line 4	Interest/Dividend Income			
	Checking	15,500.09		
	Mutual Fund	1,483.37		
	Anna Bivona funds	342.81		
Line 5	Business Asset Liquidation			
Line 6	Personal Asset Liquidation			
Line 7	Third-Party Litigation Income			
Line 8	Miscellaneous - Other (transfer from Mutual Fund)	99,993		
	Total Funds Available (Lines 1-8):			\$ 1,104,960.71
	Decreases In Fund Balance:			170,149.29
Line 9	Disbursements to Investors			\$ -
Line 10	Disbursements for Receivership Operations			
Line 10a	Disbursements to Receiver or Other Professionals	-70,156.29		
Line 10b	Business Asset Expenses			
Line 10c	Personal Asset Expenses			
Line 10d	Investment Expenses			
Line 10e	Third-Party Litigation Expenses			
	1. Attorney Fees			
	2. Litigation Expenses			
	Total Third-Party Litigation Expenses			
Line 10f	Tax Administrator Fees and Bonds			
Line 10g	Federal and State Tax Payments			
	Other (Transfer from Mutual Fund to Checking)	-99,993		
	Total Disbursements for Receivership Operations			170,149.29
Line 11	Disbursements for Distribution Expenses Paid by the Fund:			N/A
Line 11a	Distribution Plan Development Expenses:			
	1. Fees:			
	Fund Administration.....			
	Independent Distribution Consultation (IDC).....			
	Distribution Agent.....			
	Consultants.....			
	Legal Advisors.....			
	Tax Advisors.....			
	2. Administrative Expenses			
	3. Miscellaneous			
	Total Plan Development Expenses			
Line 11b	Distribution Plan Implementation Expenses:			
	1. Fees			
	Fund Administrator.....			
	IDC.....			
	Distribution Agent.....			
	Consultants.....			
	Legal Advisors.....			
	Tax Advisors.....			
	2. Administrative Expenses			
	3. Investor Identification:			
	Notice/Publishing Approved Plan			
	Claimant Identification			
	Claims Processing			
	Web Site Maintenance/Call Center			
	4. Fund Administrator Bond			

Receivership; Civil Court Docket No. 3:16-cv-01386-EMC

Reporting Period 04/01/2019 to 06/30/2019

	5. Miscellaneous			
	6. Federal Account for Investor Restitution (FAIR) Reporting Expenses <i>Total Plan Implementation Expenses</i>			
Line 12	Total Disbursements for Distribution Expenses Paid by the Fund			
	Disbursements to Court/Other:			
<i>Line 12a</i>	<i>Investment Expenses/Court Registry Investment</i>			
	<i>System (CRIS) Fees</i>			
<i>Line 12b</i>	<i>Federal Tax Payments</i>			
	Total Disbursements to Court/Other			
	Total Funds Disbursed (Lines 9-11)			170,149.29
Line 13	Ending Balance (As of 06/30/2019)			934,811.42
Line 14	Ending Balance of Fund - Not Assets:			934,811.42
<i>Line 14a</i>	<i>Cash & Cash Equivalents</i>			
		Checking	151,892.01	
		Mutual Fund	281,183.13	
		Anna Bivona funds	501,736.28	
<i>Line 14b</i>	<i>Investments</i>			
<i>Line 14c</i>	<i>Other Assets or Uncleared Funds</i>			
	Total Ending Balance of Fund - Not Assets			\$ 934,811.41

OTHER SUPPLEMENTAL INFORMATION:

		Detail	Subtotal	Grand Total
Line 15	Report of Items NOT To Be Paid by the Fund:			
	Disbursements for Plan Administration Expenses Not Paid by the Fund:			N/A
<i>Line 15a</i>	<i>Plan Development Expenses Not Paid by the Fund:</i>			
	1. Fees			
	Fund Administrator.....			
	IDC.....			
	Distribution Agent.....			
	Consultants.....			
	Legal Advisors.....			
	Tax Advisors.....			
	2. Administrative Expenses			
	3. Miscellaneous			
	<i>Total Plan Development Expenses Not Paid by the Fund</i>			
<i>Line 15b</i>	<i>Plan Implementation Expenses Not Paid by the Fund:</i>			
	1. Fees:			
	Fund Administrator.....			
	IDC.....			
	Distribution Agent.....			
	Consultants.....			
	Legal Advisors.....			
	Tax Advisors.....			
	2. Administrative Expenses			
	3. Investor Identification:			
	Notice/Publishing Approved Plan.....			
	Claimant Identification.....			
	Claims Processing.....			
	Web Site Maintenance/Call Center.....			
	4. Fund Administrator Bond			
	5. Miscellaneous			
	6. FAIR Reporting Expenses			
	<i>Total Plan Implementation Expenses Not Paid by the Fund</i>			
<i>Line 15c</i>	<i>Tax Administrator Fees & Bonds Not Paid by the Fund</i>			
	Total Disbursements for Plan Administration Expenses Not Paid by the Fund			
Line 16	Disbursements to Court/Other Not Paid by the Fund:			N/A
<i>Line 16a</i>	<i>Investment Expenses/CRIS Fees</i>			
<i>Line 16b</i>	<i>Federal Tax Payments</i>			

Receivership; Civil Court Docket No. 3:16-cv-01386-EMC

Reporting Period 04/01/2019 to 06/30/2019

Total Disbursements to Court/Other Not Paid by the Fund:				
Line 17	DC & State Tax Payments			
Line 18	No. of Claims:			
<i>Line 18a</i>	<i># of Claims Received This Reporting Period.....</i>			19
<i>Line 18b</i>	<i># of Claimants Since Inception of Fund.....</i>			229
Line 19	No. of Claimants/Investors:			
<i>Line 19a</i>	<i># of Claimants/Investors Paid This Reporting Period.....</i>			
<i>Line 19b</i>	<i># of Claimants/Investors Paid Since Inception of Fund.....</i>			
Receiver:				
By: _____				
(signature)				

Kathy Bazoian Phelps				

Receiver				
Date: 7.25.19				

EXHIBIT H

Stretto

410 Exchange, Suite 100
 Irvine, CA 92602
 (855) 812-6112
 StrettoCR-Billing@stretto.com



Statement

TO

SEC v. Bivona, et al
 Kathy Bazoian Phelps | Senior
 Counsel
 1999 Avenue of the Stars, 11th
 Floor
 Los Angeles, CA 90067

STATEMENT NO. 1003**DATE** 07/15/2019**TOTAL DUE** \$2,598.40**ENCLOSED**

DATE	DESCRIPTION	AMOUNT	OPEN AMOUNT
06/18/2018	Invoice #2475: Due 09/16/2018.	6,738.20	1,996.00
07/31/2018	Invoice #2537: Due 10/29/2018.	102.40	102.40
02/28/2019	Invoice #2763: Due 03/30/2019.	1,155.60	1,155.60
07/15/2019	Credit Memo #3033	-655.60	-655.60

Current Due	1-30 Days Past Due	31-60 Days Past Due	61-90 Days Past Due	90+ Days Past Due	Amount Due
-655.60	0.00	0.00	0.00	3,254.00	\$2,598.40

For wire/ACH payments:

Bank Name – Pacific Western Bank

Account No – 1000681781

ABA - 122238200

Beneficiary - Stretto

Remit Check Payments to:

Stretto | Attn: Chris Mok

410 Exchange, Ste. 100

Irvine, CA 92602

Stretto
 8269 E 23rd Ave
 Suite 275
 Denver, CO 80238
 (855) 812-6112

Invoice 2475



BILL TO
 SEC v. Bivona, et al
 Kathy Bazoian Phelps | Senior
 Counsel
 1999 Avenue of the Stars, 11th
 Floor
 Los Angeles, CA 90067

DATE
 06/18/2018

PLEASE PAY
\$1,996.00

DUE DATE
 09/16/2018

ACTIVITY	QTY	RATE	AMOUNT
Consultant Hours	38.40	108.00	4,147.20
Director Hours	3.40	175.00	595.00
Estimated Consultant Hours 6/18 - 6/30	12	108.00	1,296.00
Estimated Director Hours 6/18 - 6/30	4	175.00	700.00

TOTAL DUE

\$1,996.00

THANK YOU.

Bank Name – First Bank of Colorado
 Account No – 709 557 1634
 ABA - 107005047
 Beneficiary - Stretto

Remit Check Payments to:
 Stretto
 8269 E. 23rd Ave, Ste 275
 Denver, CO 80238

JND Corporate Restructuring
Labor Detail

Date	Name	Position	Category	Description	Hours
5/1/2018	Christopher Williams	Consultant	Claims Register Management	Consolidate and upload proofs of claim for SEC review	2.4
5/1/2018	Michael Hill	Director	Claims Register Management	Coordinate w/ K. Tabrizi on access to claims documents as requested by J. Yun and E. Chen; conference w/ C. Williams re: same	0.8
5/1/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	0.5
5/2/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	0.3
5/3/2018	Christopher Williams	Consultant	Claims Register Management	Follow-up with creditors with poor attestation	1.5
5/4/2018	Christopher Williams	Consultant	Claims Register Management	Follow-up with creditors with poor attestation	1.5
5/6/2018	Christopher Williams	Consultant	Claims Register Management	Follow-up with creditors with poor attestation	1.6
5/7/2018	Christopher Williams	Consultant	Noticing	Coordination of service re: blank claim forms	1.6
5/7/2018	Christopher Williams	Consultant	Claims Register Management	Follow-up with creditors with poor attestation	1.9
5/8/2018	Christopher Williams	Consultant	Noticing	Coordination of service re: blank claim forms	0.7
5/8/2018	Christopher Williams	Consultant	Claims Register Management	Follow-up with creditors with poor attestation	2
5/8/2018	Christopher Williams	Consultant	Claims Register Management	Participate in conference call re: claim validation progress report	0.5
5/8/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	1
5/9/2018	Christopher Williams	Consultant	Noticing	Coordination of service re: blank claim forms	0.6
5/9/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	3.2
5/9/2018	Christopher Williams	Consultant	Website Updates and Maintenance	Update final claim report	0.6
5/10/2018	Christopher Williams	Consultant	Noticing	Coordination of service re: blank claim forms	0.5
5/10/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	3.1
5/11/2018	Christopher Williams	Consultant	Noticing	Coordination of service re: blank claim forms	0.7
5/11/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	0.7
5/14/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	0.6
5/15/2018	Christopher Williams	Consultant	Noticing	Coordination of service re: blank claim forms	0.4
5/15/2018	Michael Hill	Director	General	Prepare for and participate in conference call w/ SEC and JND teams re: claims validation	0.5
5/15/2018	Christopher Williams	Consultant	Claims Register Management	Prepare for and participate in conference call with the SEC re: claims report modifications	0.4
5/15/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	1.4
5/16/2018	Christopher Williams	Consultant	Noticing	Coordination of service re: blank claim forms	0.3
5/16/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	3
5/17/2018	Christopher Williams	Consultant	Creditor Correspondence	Follow-up with creditors with poor attestation	0.6
5/17/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	0.4
5/17/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain full claims report	0.8
5/18/2018	Michael Hill	Director	General	Call w/ Sherwood team to discuss case status and validation percentages	0.2
5/18/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	2.7

JND Corporate Restructuring
Labor Detail

Date	Name	Position	Category	Description	Hours
5/21/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	1
5/22/2018	Michael Hill	Director	Case Management	Correspond w/ JND team re: updated analysis of validation percentages per request of Sherwood; review claims database re: same	0.9
5/22/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	2.1
5/23/2018	Michael Hill	Director	Creditor Correspondence	Review of creditor inquiries (B. Bodnar) and Sherwood's related response thereto	0.3
5/23/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	0.2
5/24/2018	Travis Haugen	Clerical	Noticing	Process and record undeliverable mail	0.1
5/24/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	0.1
5/25/2018	Travis Haugen	Clerical	Noticing	Print, mail, and fulfill Supplemental Claims Notice	0.2
5/25/2018	Christopher Williams	Consultant	Noticing	Re-serve Shalva Morris re: blank claim form	0.6
5/25/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	4.8
5/29/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	3.1
5/30/2018	Michael Hill	Director	Claims Register Management	Conference w/ C. Williams re: claims analysis as requested by E. Chen	0.2
5/30/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	6.4
5/31/2018	Christopher Williams	Consultant	Noticing	Prepare certificate of service re: claim form forwarding	0.1
5/31/2018	Travis Haugen	Clerical	Claims Processing	Process, scan, and upload proof of claim	0.1
5/31/2018	Alexa Bowers	Senior Consultant	Noticing	Review the Certificate of Service re: Notice of Claim Form	0.1
5/31/2018	Christopher Williams	Consultant	Claims Register Management	Update and maintain current claims register	4.9

Date of Service	Document(s)	Number of Recipients	Method of Service & Postage Rate	Docket Number for Filed Proof of Service	Notes
5/7/2018	Claim Form - 5 pages Cover Sheet - 1 page	3	First-Class Mail - \$0.50 (parties)	N/A	None
5/8/2018	Claim Form - 5 pages Cover Sheet - 1 page	4	First-Class Mail - \$0.50 (4 parties)	N/A	None
5/10/2018	Claim Form - 5 pages Cover Sheet - 1 page	6	First-Class Mail - \$0.50 (6 parties)	N/A	None
5/25/2018	Blank Claim Form - 5 pages Cover Sheet - 1 page	1	First-Class Mail - \$0.50 (1 party)	N/A	None

Stretto
 8269 E 23rd Ave
 Suite 275
 Denver, CO 80238
 (855) 812-6112

Invoice 2537



BILL TO
 SEC v. Bivona, et al
 Kathy Bazoian Phelps | Senior
 Counsel
 1999 Avenue of the Stars, 11th
 Floor
 Los Angeles, CA 90067

DATE
 07/31/2018

PLEASE PAY
\$2,098.40

DUE DATE
 10/29/2018

DATE	ACCOUNT SUMMARY	AMOUNT
06/18/2018	Balance Forward	\$16,985.70
	Payments and credits between 06/18/2018 and 07/31/2018	-14,989.70
	New charges (details below)	102.40
	Total Amount Due	\$2,098.40

ACTIVITY	QTY	RATE	AMOUNT
Consultant Hours	0.30	108.00	32.40
Director Hours	0.40	175.00	70.00

TOTAL OF NEW CHARGES 102.40

TOTAL DUE **\$2,098.40**

THANK YOU.

Bank Name – First Bank of Colorado
 Account No – 709 557 1634
 ABA - 107005047
 Beneficiary - Stretto

Remit Check Payments to:
 Stretto
 8269 E. 23rd Ave, Ste 275
 Denver, CO 80238

JND Corporate Restructuring
Labor Detail

Date	Name	Position	Category	Description	Hours
7/10/2018	Michael Hill	Director	General	Review of Docket Nos. 364 - 367 re: case status and upcoming 7/16 hearing	0.4
7/11/2018	Christopher Williams	Consultant	General	Respond to G. Nertea's inquiry about claim numbers	0.3

Stretto
 8269 E 23rd Ave
 Suite 275
 Denver, CO 80238
 (855) 812-6112

Invoice 2763



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DATE
 02/28/2019

PLEASE PAY
\$3,254.00

DUE DATE
 03/30/2019

DATE	ACCOUNT SUMMARY	AMOUNT
07/31/2018	Balance Forward	\$2,098.40
	Payments and credits between 07/31/2018 and 02/28/2019	0.00
	New charges (details below)	1,155.60
	Total Amount Due	\$3,254.00

ACTIVITY	QTY	RATE	AMOUNT
Consultant Hours	10.70	108.00	1,155.60

TOTAL OF NEW CHARGES 1,155.60

TOTAL DUE \$3,254.00

THANK YOU.

Bank Name – First Bank of Colorado
 Account No – 709 557 1634
 ABA - 107005047
 Beneficiary - Stretto

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 Denver, CO 80238

Stretto
Labor Detail

Date	Name	Role	Task Category	Description	Hours
2/19/2019	Christopher Williams	Consultant	General	Speak with K. Phelps via phone and email re: review case information and processes	3.9
2/20/2019	Christopher Williams	Consultant	General	Respond to K. Phelps regarding SRA service list	0.8
2/21/2019	Christopher Williams	Consultant	General	Prepare for and participate in call with K. Phelps	2.1
2/21/2019	Christopher Williams	Consultant	Creditor Correspondence	Respond to creditor inquiry (D. Baker) via phone re: Aeon late-filed claim	0.1
2/21/2019	Christopher Williams	Consultant	General	Provide K. Phelps with updated claims register re: Aeon late-filed claim	0.8
2/22/2019	Christopher Williams	Consultant	General	Review and revise claims register re: missing address per K. Phelps	2.3
2/27/2019	Christopher Williams	Consultant	Claims Register Management	Locate and circulate claim form re: Pulling Out of Here to Win	0.7